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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/845,138	
		Filing Date	April 30, 2001	
		First Named Inventor	Otero	
		Group Art Unit	2825	
		Examiner Name	Not yet assigned	
Total Number of Pages in This Submission		WGST 384s.	Attorney Docket Number	G08.052

**ENCLOSURES (check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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TC 2800 MAIL ROOM**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Kurt M. Maschoff		
Signature			
Date	November 1, 2002		

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: OTERO et al.

Application No.: 09/845,138

Filing Date: April 30, 2001

For: APPARATUS, METHODS AND  
ARTICLES OF MANUFACTURE  
FOR CONSTRUCTING AND  
EXECUTING COMPUTERIZED  
TRANSACTION PROCESSES AND  
PROGRAMS

## **INFORMATION DISCLOSURE STATEMENT**

) Attorney Docket No.: G08.052  
)  
) Buckley, Maschoff, Talwalkar & Allison LLC  
)  
) 5 Elm Street  
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) New Canaan, CT 06840  
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) (203) 972-0006

Commissioner for Patents  
Washington, DC 20231

Sir:

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- under 37 CFR 1.97(b);  
(within 3 months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- under 37 CFR 1.97(c) together with either a:
  - Statement under 37 CFR 1.97(e), or
  - \$\_ fee under 37 CFR 17(p); or  
(after 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- under 37 CFR 1.97(d) together with a:
  - Statement under 37 CFR 1.97(e),
  - Petition under 37 CFR 1.97(d)(2)(ii), and
  - \$\_ petition fee under 37 CFR 17(i).  
(after 37 CFR 1.97(c) time period, but before payment of issue fee)

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

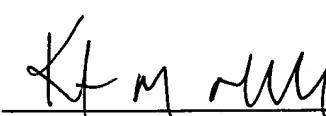
The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 CFR §§1.56, 1.97 and 1.98. The items listed on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items listed on the enclosed copy of Form PTO-1449 and to independently ascertain their teaching.

Respectfully submitted,

November 1, 2002

Date

  
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